PMS INVESTMENT APPROACH SWITCH FORM



NJ ASSET MANAGEMENT PRIVATE LIMITED

Registered Office: Block No. 601, 3rd Floor, 'C' Tower, Udhna Udyog Nagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394210, Gujarat Corporate Office: Unit No. 101A, 1st Floor, Hallmark Business Plaza, Bandra (East), Mumbai – 400051, Maharashtra.

(Please fill in the information below legibly in English and in CAPITALS) Date D M M Y Y									
PMS Accou	int N	0.							
First Holder	· Nar	ne: First Name Mid	dle Name	Last Name					
I/We hereby request you to switch over my/our existing investment as per following instructions.									
		Partial Amount	From: _	(investment approach)					
	OR -	₹	_						
Full Amount		(in words)	To: _	(investment approach)					
		(in words)	_						
		Partial Amount		(in contract conversely)					
		₹	From: _	(investment approach)					
Full Amount	OR		_						
i uli Allioulit		(in words)	To: _	(investment approach)					
		(iii words)	_						
		Partial Amount	From:	(investment approach)					
		₹	_						
Full Amount	OR	(in words)		(investment approach)					
		(in words)	10: _	(investment approach)					
		Partial Amount		(investment approach)					
	OR	₹	_						
Full Amount	OK	(in words)	To: _	(investment approach)					
		(in words)	_						
		Partial Amount		(investment approach)					
		₹	From: _	(investment approach)					
Full Amount	OR		_						
- and another		(in words)	To: _	(investment approach)					
		(iii woldo)	_						
Notes:									

- 1. If full amount is ticked and partial amount is also mentioned, request will be processed with the partial amount.
- 2. Switch transaction will be processed subject to minimum investment amount criteria fulfillment as defined by NJ Asset Management Private Limited (NJAMPL) from time to time.
- 3. Investors are requested to use same pen(ink) for form filling and signatures across the document(s).
- 4. Switch-In transaction will be processed with the funds realised from the Switch-Out Investment Approach after deduction of applicable charges, if any.
- 5. The application should be submitted to NJ AMPL within 15 days from the date of request.
- 6. In the event of switch, any outstanding liabilities and/or surplus cash available will be transferred to the offered portfolio's.

X
Sole/First Applicant/ Authorised Signatory

Х	
	Second Applicant/ Authorised Signatory

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	Third Applicant/ Authorised Signatory

Fees & Charges (Schedule C)

The fees and other charges levied are as stated below: Ref No./ PMS Account Code								
Investment Approaches [IA(s)] DISCRETIONARY IA(s)	Annual Portfolio Management Fees (ex GST) payable on the daily portfolio The billing will be on a calendar month			folio value.	Exit Fees			
Balance Advantage Portfolio		Upto 0.40% Cr: Upto 0.20%	≥20 Cr to <30 Cr: Upto 0.12% ≥30 Cr: Upto 0.10% ≥20 Cr to <30 Cr: Upto 0.10% ≥30 Cr: Upto 0.08%		Upto 1% of with- drawal amount, if the portfolio is withdrawn within one year from			
Freedom Portfolio		0 Cr: Upto 0.16%						
Freedom ETF Portfolio	≥2 Cr to <10	Upto 0.40% Cr: Upto 0.20% 0 Cr: Upto 0.12%						
Bluechip Portfolio	< 2 Cr: Upto 1% ≥2 Cr to <5 Cr: Upto 0.80%			o <30 Cr: Upto 0.40%	the date of corpus infusion			
Multi Cap Portfolio		Cr: Upto 0.60% 0 Cr: Upto 0.48%		to <50 Cr Upto 0.32% 0 Cr: Upto 0.28%				
Liquid Portfolio	<30 Cr: Upto 0.08%		≥30 Cr: Upto 0.06%		NIL			
NON-DISCRETIONARY IA(s)								
Non-Discretionary Low Risk Schemes Portfolio	<30 Cr. Upto 0.08%		≥30 Cr: Upto 0.06%		NIL			
All Non-Discretionary Portfolios except Non-Discretionary Low Risk Schemes Portfolio	< 2 Cr: Upto 0.40% ≥2 Cr to <10 Cr: Upto 0.20% ≥ 10 Cr to <20 Cr: Upto 0.16%		≥20 Cr to <30 Cr: Upto 0.12% ≥30 Cr: Upto 0.10%		NIL			
Transaction Charges/ Depository Charges GST, Security Transaction Tax & Other St			Actuals	Auditor's Fee ∪	pto 1200/- p.a per account.			

Any other incidental or out of pocket expenses incurred on behalf of investor under this PMS Agreement shall be charged on actual. The Fees and other Charges are subject to revision from time to time with the consent of the investor.

Terms & Conditions:

- 1) The fee slabs will be applicable based on the total corpus across all portfolios at the client code level.
- 2) The Portfolio Manager has the discretion to sell securities held in the investor's accounts for the recovery of any of the fees charged to the investor's account.
- 3) The investor agrees that in case of any change in Investment Approach, the investor is not required to provide Schedule C again so long as the applicable fees in respect of the new Investment Approach do not exceed the mutually agreed Fees as specifically mentioned in the Schedule C.

I/We have read, understood and accept the fee structure mentioned above. (To be Handwritten by Investor in the space provided below)

Sole/First Applicant/ Authorised Signatory	Second Applicant/ Authorised Signatory	Third Applicant/ Authorised Signatory

Note: Investors are requested to use same pen(ink) for form filling and signatures across the documents.

FOR OFFICE USE ONLY Signature of NJAMPL Authorised person



Illustration on Fees and Charges

The example given here under is to enable the Investor to understand the fees / expense structure. The amount of fees / expenses in the below example are purely hypothetical and the actual fees / expenses charged to the Investor would be as specified in the Fees & Charges (Schedule C) overleaf which is part of the Portfolio Management Services Agreement.

Assumptions							
Capital Contribution	а	Rs. 50,00,000/- (Rupees Fifty Lacs only)					
Management Fee (%age per annum)	b	2.50%					
Other Expenses	С	0.50%					
Brokerage and Transaction cost	d	0.20%					

It is assumed that the Investor has opened the account for a period of One year. i.e., From 1st April to 31st March

Elect Ele		Ave to a	Scenario 1	Scenario 2	Scenario 3	
Fixed Fee	illus	straion	Gain of 20%	Loss of -20%	No Change 0%	
Capital Contributed / Assets under Management		i = a	50,00,000	50,00,000	50,00,000	
Gain / (Loss) on Investment based on the Scenario	ii	ii= i*Scenario	10,00,000	-10,00,000	0	
Gross Value of the Portfolio at the end of the year		iii= I + ii	60,00,000	40,00,000	50,00,000	
Average assets under management		iv= (i + iii) / 2	55,00,000	45,00,000	50,00,000	
Other Expense	٧	v= iv x c	-27,500	-22,500	-25,000	
Brokerage and Transaction cost	vi	$vi = (iv \times d)$	-11,000	-9,000	-10,000	
Management Fees	vii	$vii = (iv + v + vi) \times b$	-1,36,537.5	-1,11,712.5	-1,24,125	
Total charges during the year	viii	viii = v + vi + vii	-1,75,037.5	-1,43,212.5	-1,59,125	
Net value of the Portfolio at the end of the year	ix	ix = iii + viii	58,24,962.5	38,56,787.5	48,40,875	
% Portfolio Return		x = ((ix - i) / i) %	16.50%	-22.86%	-3.18%	

NOTES:

- In the above illustration, Management Fees and Operating Expenses have been calculated on the average of the Corpus contribution and the gross value of the portfolio as on 31st March.
- 2 NJ PMS may charge Management Fee on the closing portfolio value or in any other manner as defined in the PMS agreement.
- Brokerage and transaction cost for the illustration purpose is charged on the Average AUM. However, Brokerage and Transaction cost are charged to investor at actuals.
- Other Expenses in the form of Statutory Charges like transaction charges, STT, GST & Stamp Duty etc. will be charged on actuals.
- Management Fees shall be deducted on Monthly basis. However, In the illustration, Management fee is assumed to be charged annually.
- 6 All Fees and charges are subject to GST.
- 7 NJAMPL charges only a fixed fee, with no performance-based fees or hurdle rate.
- 8 Exit Fees upto 1% shall be charged on every outflow of corpus.
- 9 Operating Expenses are charged on actuals.

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