# ADDITIONAL CORPUS REQUEST FORM (TOPUP) - For Direct Clients

### NJ ASSET MANAGEMENT PRIVATE LIMITED

(Formerly known as NJ Advisory Services Private Limited)

Registered Office: Block No.901, 6th Floor, 'B' Tower, Udhna Udyog Nagar Sangh Commercial Complex, Central Road No.10,Udhna, Surat – 394210, Gujarat Corporate Office: Unit No. 101A, 1st Floor, Hallmark Business Plaza, Bandra (East), Mumbai – 400051, Maharashtra.

		Request for Addi	tion of funds				Date	D	M	MY	ΥΥ	Y
	ersigned, hold PMS Account No.		r Company.									
I/We wish to infuse additional funds vide below mode. Select relevant mode(s)  Cheque / NEFT / RTGS *						Securities Selling						
Amour	nt											
Date of Chague / NEET / RTGS						ما ماريان	fillad					
	e No / NEFT / RTGS Ref. No.				┤ ^	Kindly consider attached duly filled & signed TIS for security selling and invest amount received from the						
Bank N					+	invest	amo	unt re sai		ed froi	n the	
	ı Name				+							
	be attached in case funds infused fro	unregistered bank account										
As per ab	ove details funds to be infused to my/	ur following PMS Account in belo	w Investment Approach	ı(es)								
Please Tick √	Investment Approach				Amount in Figures							
	Dynamic Stock Allocation Portfolio - A	ggressive										
	Amount in Words:											
	Dynamic Stock Allocation Portfolio - 0	onservative										
	Amount in Words:											
	Balanced Advantage Portfolio (BAP)											
	Amount in Words:					•	•					
	Bluechip Portfolio											
	Amount in Words:											
	Dynamic ETF Allocation Portfolio - Aggressive											
	Amount in Words:											
	Dynamic ETF Allocation Portfolio - Conservative											
	Amount in Words:											
	Freedom ETF Portfolio											
	Amount in Words:											
	Multi Cap Portfolio											
	Amount in Words:											
	Freedom Portfolio											
	Amount in Words:											
	Liquid Portfolio											
	Amount in Words:											
	Non-Discretionary Equity Schemes Portfolio (NDEP)											Щ
	Amount in Words:											$\overline{}$
	Non-Discretionary Hybrid Schemes Portfolio (NDHP)											
	Amount in Words:						_					
	Non-Discretionary Low Risk Schemes	Portfolio (NDLP)										Щ
	Amount in Words:											-
Total Amount in Figures:												
	nount in Words:											
Note: Topu	p transaction will be processed subject t	minimum investment amount crite	eria fulfillment.									
Name:	me: Name: Na			me:								
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Second Applicant/ Authorised Signatory



Sole/First Applicant/ Authorised Signatory

Third Applicant/ Authorised Signatory

## Fees & Charges (Schedule C) - For Direct Clients

The fees and other charges levied on the Balanced Advantage Portfolio (BAP), Dynamic Stock Allocation Portfolio – Aggressive (DSAP – Aggressive), Dynamic ETF Allocation Portfolio – Aggressive (DEAP – Aggressive), Freedom Portfolio (FP), Freedom ETF Portfolio (FREEDOM ETF), Multi Cap Portfolio (MULTICAP), Bluechip Portfolio (BLUECHIP), Liquid Portfolio (LIQUID), Dynamic Stock Allocation Portfolio – Conservative (DSAP – Conservative), Dynamic ETF Allocation Portfolio – Conservative (DEAP – Conservative), Non-Discretionary Equity Schemes Portfolio (NDEP), Non-Discretionary Hybrid Schemes Portfolio (NDHP), Non-Discretionary Low Risk Schemes Portfolio (NDLP) are as Stated below:

	Annual Portfolio			Other Charges						
Investment Approaches	Management Fees (exclusive of GST) payable on the average daily portfolio value. The billing will be on a calendar monthly basis.	Exit Fees	Auditor's Fee	Transaction Charges/ Depository Charges/ Brokerage	GST, Security Transaction Tax & Other Statutory levies					
BAP										
FP	Upto 1.0 %									
DEAP - Aggressive	'									
Freedom ETF		Upto 1% of withdrawal				×				
DSAP - Aggressive		amount, if the	Upto.							
Bluechip	Upto 1.5 %	portfolio is withdrawn	withdrawn 1200/- p.a. per A	As Applicable at						
Multicap		within one year		As Applicable at						
DSAP - Conservative	Upto 0.8 %	from the date of corpus	account							
DEAP - Conservative	Upto 0.60 %	infusion.								
NDEP										
NDHP	Upto 0.20%									
NDLP										
Liquid	Upto 0.2 %	NIL								

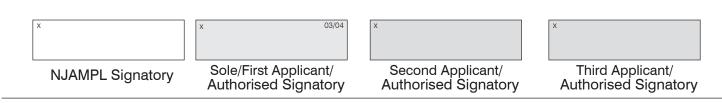
(Please refer to Illustrations on the Fees and Charges)

Any other incidental or out of pocket expenses incurred on behalf of Client under this PMS Agreement shall be Charged on actual. The Fees and other Charges are subject to revision from time to time with the consent of the Client.

### Terms & Conditions:

- 1. The above mentioned slabs will be applicable based on Net Investment value of respective Investment Approaches. For e.g. If Net Investment Value is Rs. 5 crore in a particular Investment Approach & current value of that Investment Approach is Rs. 6 crore, then fees slab for Rs. 5 Crore will be applicable
- 2) The Portfolio Manager has the discretion to sell securities held in the Client's accounts for the recovery of any of the fees charged to the Client's account.
- 3) The client agrees that in case of any change in Investment Approach, the client is not required to provide Schedule C again so long as the applicable fees in respect of the new Investment Approach do not exceed the mutually agreed Fees as specifically mentioned in the Schedule C.

I/We have read, understood and accept the fee structure mentioned above. (Handwritten by Client)





# Illustration on Fees and Charges

The example given hereunder is to enable the Client to understand the fees / expense structure. The amount of fees / expenses in the below example are purely hypothetical and the actual fees / expenses charged to the Client would be as specified in the Fees & Charges (Schedule C) overleaf which is part of the Portfolio Management Services Agreement.

1	Size of sample portfolio: Rs. 50, 00,000/- (Rupees Fifty Lacs only)
2	Period: 1 year
3	Hurdle Rate: Not Applicable
4	Other Expenses (DP charges/ custodian charges / other similar charges): Weighted Average of such charges (as a percentage of assets under management) levied in the past year/ in case of new portfolio managers indicative charges as a percentage of assets under management – Assumed @ 0.50%
5	Management fee: - 2.5% p.a charged on the average of the opening and closing asset under management.
6	Performance fee: - Nil
7	Exit Fees – 1% in case the funds are withdrawn prior to completion of 1 year from the date of infusion.
8	It has been assumed that the Client has opened the account for a period of One year. i.e., From 1st April to 31st March.

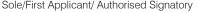
#### **Portfolio Performance**

	Particulars	Gain of 20%	Loss of 20%	No Change
	Corpus Contribution	50,00,000	50,00,000	50,00,000
	Assets under management	50,00,000	50,00,000	50,00,000
а	Less: Brokerage (assumed @ 0.5%)	25,000	25,000	25,000
	Assets under management after Brokerage	49,75,000	49,75,000	49,75,000
	Add/Less: Profits/Losses on investment during the year (@ 20% or @0% as applicable) on assets under management	59,70,000	39,80,000	49,75,000
b	Less: Operating Expenses (assumed @ 0.5%)	27,363	22,388	24,875
	Gross Value of the Portfolio after Brokerage and			40 50 405
	Operating Expenses	59,42,638	39,57,613	49,50,125
С	Less: Management Fees (2.5% of Asset under Management)	1,36,470	1,11,658	1,24,064
	Portfolio Value after Management Fees	58,06,167	38,45,955	48,26,061
d	Less: Exit Fees (1%)	58,062	38,460	48,261
	Net value of the Portfolio at the end of the year	57,48,105	38,07,495	47,77,800
	Total charges during the year (a+b+c+d)	2,46,895	1,97,505	2,22,200
	% change over Corpus contributed	14.96	-23.85	-4.44

#### NOTE:

- 1. In the above illustration, Management Fees and Operating Expenses have been calculated on the average of the Corpus contribution and the gross value of the portfolio as on 31st March.
- 2. Operating Expenses are charged on actuals.
- 3. Management Fees shall be deducted on Monthly basis.
- 4. Exit Fees shall be charged on every outflow of corpus.
- 5. Other levies in the form of Statutory Charges like transaction charges, STT, GST & Stamp Duty etc. will be charged on actuals.

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